


<input type="checkbox"/> FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (MODIFIED)		ATTORNEY'S DOCKET NUMBER <b>X-16760A</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>10/553938</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/US2004/015786</b>	INTERNATIONAL FILING DATE <b>20 May 2004 (20.05.04)</b>	PRIORITY DATE CLAIMED <b>20 May 2003 (20.05.03)</b>	
TITLE OF INVENTION: <b>CD20 BINDING MOLECULES</b>			
APPLICANT(S) FOR DO/EO/US.: <b>Jeffry Dean Watkins, Julian Davies, David Matthew Marquis, Barrett Allan, Brian Ondek</b>			
Applicant herewith submits to the U.S. Designated/Elected Office (DO/EO/US) the following items and other information:			
1. 2. 3. 4. 5. 6. 7. 8. 9. 10. 11.	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; width: 20px; height: 20px; margin-right: 5px;"></div> <div> <p><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p><input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p><input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <div style="margin-left: 20px;"> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> </div> <p><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))</p> <div style="margin-left: 20px;"> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> </div> <p><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p><input type="checkbox"/> A declaration of the inventors 35 U.S.C. 371(c)(4) was provided in the PCT Request Form.</p> <p><input checked="" type="checkbox"/> A copy of the International Preliminary Report on Patentability (IPRP), including any annexes, and, if not in English, an English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> </div> </div>		
<b>Items 12. to 18. below concern document(s) or information included:</b>			
12. 13. 14. 15. 16. 17. 18.	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; width: 20px; height: 20px; margin-right: 5px;"></div> <div> <p><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <span style="margin-left: 20px;"><input checked="" type="checkbox"/> Search Report from ISA/US</span></p> <p><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p><input type="checkbox"/> Assignment has been recorded at reel _____, frame _____.</p> <p><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p><input checked="" type="checkbox"/> A Sequence Listing, 1.821 Statement, and diskette.</p> <p><input checked="" type="checkbox"/> A change of power of attorney with attachment.</p> <p><input checked="" type="checkbox"/> Other items or information:            Please amend the first page of the specification by inserting the following cross-reference after the title:            "This is the national phase application, under 35 USC 371, for PCT/US2004/015786, filed 20 May 2004, which, claims the benefit, under 35 USC 119(e), of US provisional application 60/471,958 filed 20 May 2003 and US application 10/849,615 filed 20 May 2004."</p> </div> </div>		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>10/553938</b>		INTERNATIONAL APPLICATION NO. <b>PCT/US2004/015786</b>		ATTORNEY'S DOCKET NUMBER <b>X-16760A</b>		
19.	<b>X</b>	The following fees are submitted:			<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
<b>(a)</b>	Basic national fee (37 CFR 1.492(a)).....\$300.00			<b>\$300.00</b>		
<b>(b)</b>	Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0 All other situations.....\$200			<b>\$200.00</b>		
<b>(c)</b>	Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100  International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB.....\$400  All other situations.....\$500			<b>\$100.00</b>		
<b>TOTAL AMOUNT (a + b + c) =</b>				<b>\$600.00</b>		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
<b>CLAIMS</b>		<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims		14-20=		<b>X \$50.00</b>	\$	
Independent claims		2-3=		<b>X \$200.00</b>	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				<b>+ \$360.00</b>	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$600.00</b>		
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$		
<b>SUBTOTAL =</b>				<b>\$600.00</b>		
Processing fee of \$130.00 for furnishing English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
<b>TOTAL NATIONAL FEE =</b>				<b>\$600.00</b>		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31).				\$		
<b>\$40.00 per property +</b>						
<b>TOTAL FEES ENCLOSED =</b>				<b>\$600.00</b>		
				<b>Amount to be refunded</b>	\$	
				<b>charged</b>	\$	
a.	<input type="checkbox"/>	A check in the amount of \$_____ to cover the above fees is enclosed.				
b.	<input checked="" type="checkbox"/>	Please charge my Deposit Account No. 05-0840 in the amount of <u>\$600.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.				
c.	<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0840. A duplicate copy of this sheet is enclosed.				
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>						
SEND ALL CORRESPONDENCE TO: ELI LILLY AND COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, INDIANA 46206-6288 <u>Oct. 21, 2005</u> Date 47,517			<u>Paula K. Davis</u> SIGNATURE Paula K. Davis		 <b>25885</b> PATENT TRADEMARK OFFICE	
REGISTRATION NUMBER			317.433-3422 TELEPHONE NUMBER			

IN THE UNITED STATES RECEIVING OFFICE (USRO)

Applicant(s): Jeffry Dean WATKINS, et. al.  
International Application No.: PCT/US2004/015786  
Filed: 20 May 2004 (20.05.2004)  
Invention: CD20 BINDING MOLECULES  
Lilly Reference: X-16760A  
Earliest Priority Date: 20 May 2003 (20.05.2003)

**Certificate Under 37 C.F.R. § 1.10**

Attention: DO/EO  
Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

"Express Mail" mailing label number: EV 393132778 US

Date of Deposit: OCT. 21, 2005

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

QUEEN THOMAS  
(Typed or printed name of person mailing paper)

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(Signature of person mailing paper or fee)